PTO/SB/2T(09-04) (AW 10/2004)
Approved for use through 7/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

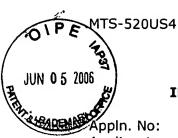
10/017,965 Application Number December 7, 2001 Filing Date Mitsuaki Oshima et al. First Named Inventor 2137 Art Unit Paul E. Callahan **Examiner Name** Attorney Docket No. MTS-520US4

Total Number of Pages in This Submission 5 + 2 References + 1 Japanese Office Action

ENCLOSURES (Check all that apply)						
	mittal Form Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to TC			
= =====================================	nt/Reply r Final davits/Declaration(s)	Petition Petition to Convert to a Provisional Application	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
Express A	of Time Request bandonment Request n Disclosure Statement	Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund	Proprietary Information Status Letter Other Enclosure(s) (please identify below):			
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		CD, Number of CD(s) Landscape Table on CD Remarks:	PTO Form SB/08 2 References 1 Japanese Office Action Return Postcard			
	SIGNATURE	OF APPLICANT, ATTORNEY OR AG	ENT			
Firm Name	RatherPrestia					
Signature		1000				
Printed Name	Printed Name Jack J. Jankovitz					
Date	May 31, 2006	Registration No.	42,690			
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature	Deborat	Spratt				
Typed or Printed Name Deborah Spratt			Date May 31, 2006			
This collection of information is required by 27 CER 1.5. The information is required to obtain or retain a banefit by the public which is to file (and by the						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/017,965

Applicant:

Mitsuaki OSHIMA, et al.

Filed:

December 7, 2001

Title:

MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK

AND METHOD OF PRODUCING OPTICAL DISK

TC/A.U.:

2137

Examiner:

Paul E. Callahan

Confirmation:

8891

Docket No.

MTS-520US4

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of each of the references listed on the PTO/SB/08a and PTO/SB/08b is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- JP 06-203412 1)
- 2) JP 60-175254

MTS-520US4 PATENT

References 1) to 2) include English language abstracts.

Reference 2) includes a partial English translation.

References 1) and 2) were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Japanese Official Action indicating the degree of relevance determined by the foreign patent office, are submitted herewith. MPEP 609 IIIA(3), second paragraph.

Reference JP 02-056750 was previously cited in a Supplemental Information Disclosure Statement dated February 19, 2003.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of an RCE or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted

Jack L. Lankovitz, Reg. No. 42,690 Attorneys for Applicants

JJJ/ds

Enclosures:

PTO Form SB/08 (2 sheets)

Japanese Official Action

(2) References Transmittal Form

Dated:

May 31, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

May 31, 2006

Deborah Spratt Deboral Spratt

ds/27252



Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known	
Application Number	10/017,965	
Filing Date	December 7, 2001	
First Named Inventor	Mitsuaki Oshima et al.	
Art Unit	2137	_
Examiner Name	Paul E. Callahan	
Attorney Docket No.	MTS-520US4	

Considered

	U.S. PATENT DOCUMENTS					
		Document Number			Pages, Columns, Lines, Where	
Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
	ŀ	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	(MM-DD-YYYY)	Document	Figures Appear	T6
		JP 06-203412 (with English Abstract)	07-22-1994	EASTMAN KODAK CO.	·	
		JP 60-175254 (with English Abstract) (with Partial English Translation)	09-09-1985	KAWAOKA NORITAKA		☒
		·			•	
			<u> </u>			
Examiner	T			Date	<u> </u>	_

FOREIGN PATENT DOCUMENTS

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

3Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known			
Application Number	10/017,965		
Filing Date	December 7, 2001		
First Named Inventor	Mitsuaki Oshima et al.		
Art Unit	2137		
Examiner Name	Paul E. Callahan		
Attorney Docket No.	MTS-520US4		

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
		Japanese Official Action dated March 7, 2006			
-					
==					
		·			
	<u> </u>				
Examiner Signature		Date Considered			

considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not